

PCT/PTO



## CASE HF/5-22104/A/PCT

## CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

*Dolores DeCarmine*  
Type or print name

*Dolores DeCarmine*  
Signature

1/16/03  
Date

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PCT NATIONAL STAGE APPLICATION OF

Group Art Unit: 1751

PETR KVITA ET AL

Examiner:

INTERNATIONAL APPLICATION NO. PCT/EP 00/09394

-B

FILED: SEPTEMBER 26, 2000

SAC

FOR: FABRIC SOFTENER COMPOSITIONS

U.S. APPLICATION NO: 10/089,851

RECEIVED

35 USC 371 DATE: APRIL 3, 2002

JUN 30 2003

Assistant Commissioner for Patents

TECHNOLOGY CENTER 1700

Washington, D.C. 20231

*Z KF/S*  
LETTER

Sir:

The official filing receipt received in the above-identified application erroneously lists the filing date. As indicated above, this application is in the National stage and the executed Declaration was not sent to the Patent Office at the time of filing. On August 30, 2002 a missing parts response "LETTER" was sent to the Patent Office, enclosing the executed Declaration. Applicants received the postcard acknowledging receipt of the response. The date that was given by the clerk at the Patent Office as the 371 filing date was the date the response was received in the PTO. However, when a Declaration is a missing requirement during the National stage, the correct 371 filing date should be the date the response was sent to the PTO, which in this case was August 30, 2002 and not September 4, 2002. The only verification of the mailing date that Applicants have is the date on the transmittal letter (a copy is enclosed herewith) and the acknowledged receipt postcard (a copy is

enclosed herewith). Please correct the filing date on the **filing receipt** (a copy is enclosed herewith) and the 371 date on the **Notice of Acceptance of Application Under 35 U.S.C. 371 (Form PCT/DO/EO/903)** (a copy is enclosed herewith) and make it of record so that there is no problem in the future. Please issue a corrected filing receipt listing the filing date as follows:

-- Filing date:

**August 30, 2002 --**

Applicants believe this is a clerical error ascribable to the Patent Office. Accordingly, no fee is required. However, if this is incorrect, the Commissioner is hereby authorized to charge the \$25.00 fee under 37CFR 1.19(h) any additional fees that may be required to Deposit Account 03-1935.

Respectfully submitted,



Kevin T. Mansfield  
Agent for Applicants  
Reg. No. 31,635

Ciba Specialty Chemicals Corporation  
Patent Department  
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KTM/dd  
Encl.:  
Date: JAN 16 2003



Commissioner for Patents  
Washington, DC 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/089,851	09/04/2002	1751..	1038	HF/5- 22104/A/PCT		21	1

8/30/02

RECEIVED

KTM  
000324  
CIBA SPECIALTY CHEMICALS CORPORATION  
PATENT DEPARTMENT  
540 WHITE PLAINS RD  
P O BOX 2005  
TARRYTOWN, NY 10591-9005

DEC 17 2002  
ANDREA DECECHIS  
DOCKET COORDINATOR  
PATENT DEPARTMENT

CONFIRMATION NO. 5303

FILING RECEIPT



\*OC000000009219775\*

Date Mailed: 12/11/2002

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Petr Kvita, Reinach, SWITZERLAND;  
Peter Otto, Rheinfelden, GERMANY;  
Mario Dubini, Niederdorf, SWITZERLAND;  
Harald Chrobaczek, Augsburg, GERMANY; ✓  
Michael Geubtner, Langweid, GERMANY;  
Ralf Goretzki, Stadtbergen, GERMANY;  
Barbara Weber, Grenzach-Wyhlen, GERMANY;  
Emmanuel Martin, Saint Louis Neuweg, FRANCE;

#### Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP00/09394 09/26/2000 ✓

#### Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 99810901.1 10/05/1999 ✓

Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No

HF/5-22104/A/PCT

Copy sent to Basle - LE5.5/m4  
12/19/02 PW

**Title**

Fabric softener compositions

**Preliminary Class**

510

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

- The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Case No. HF15-22104/A/PCT

Application/Serial No. 10/089,851

Mailing Date: 8/30/02

Due Date: 9/1/02

The Patent & Trademark Office acknowledges, and has stamped hereon  
the date of receipt of the items checked below:

- Amendment - Fee \$ \_\_\_\_\_
- Appeal Brief - Fee\$ \_\_\_\_\_
- Application Filing Papers - Fee \$ \_\_\_\_\_
  - PCT national stage
  - Provisional Application
  -
- Assignment Recordation - Fee \$ \_\_\_\_\_
- Associate Power of Attorney
- Claim of Priority
  - Certified Copy(ies)
- Declaration and Power of Attorney (1)
- Declaration  Rule 131  Rule 132
- Foreign Filing license request
- Formal Drawings
- Information Disclosure Statement - Fee \$ \_\_\_\_\_
- Issue Fee Transmittal - Fee \$ \_\_\_\_\_
- Letter Response \$130.00
- Notice of Appeal - Fee \$ \_\_\_\_\_
- Petition for \_\_\_\_\_ - Fee \$ \_\_\_\_\_
- Petition for extension of time - Fee \$ \_\_\_\_\_
- Reply Brief
- Request for Oral Hearing - Fee \$ \_\_\_\_\_
- Request for Certification of Correction - Fee \$ \_\_\_\_\_

✓ Copy of missing Requirements Notice  
C-2259-65 6/96  
KTM

04 SEP 2002  
DTB6 Rec'd PCT/PTO



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/089,851	Petr Kvita	HF/5-22104/A/PCT

000324  
 CIBA SPECIALTY CHEMICALS CORPORATION  
 PATENT DEPARTMENT  
 540 WHITE PLAINS RD  
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 TARRYTOWN, NY 10591-9005

RECEIVED

DEC 17 2002

ANDREA DECECCHIS  
 DOCKET COORDINATOR  
 PATENT DEPARTMENT

INTERNATIONAL APPLICATION NO.

PCT/EP00/09394

I.A. FILING DATE	PRIORITY DATE
09/26/2000	10/05/1999

CONFIRMATION NO. 5303  
 371 ACCEPTANCE LETTER



\*OC00000009219776\*

Date Mailed: 12/11/2002

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.494  
OR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

09/04/2002DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and  
(c)(4) REQUIREMENTS09/04/2002 - 8/30/02DATE OF RECEIPT OF ALL 35 U.S.C.  
REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- U.S. Basic National Fee
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments

HF/5-22104/A/PCT

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

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Telephone: (703) 305-3688

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)